

# Spectral study of alliances in graphs

J. A. Rodríguez\*

*Department of Computer Engineering and Mathematics*

Rovira i Virgili University of Tarragona

Av. Països Catalans 26, 43007 Tarragona, Spain

J. M. Sigarreta†

*Departamento de Matemáticas*

Universidad Carlos III de Madrid

Avda. de la Universidad 30, 28911 Leganés (Madrid), Spain

## Abstract

In this paper we obtain several tight bounds on different types of alliance numbers of a graph, namely (global) defensive alliance number, global offensive alliance number and global dual alliance number. In particular, we investigate the relationship between the alliance numbers of a graph and its algebraic connectivity, its spectral radius, and its Laplacian spectral radius.

*Keywords:* Defensive alliance, offensive alliance, dual alliance, domination, spectral radius, graph eigenvalues.

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## 1 Introduction

The study of defensive alliances in graphs, together with a variety of other kinds of alliances, was introduced by Hedetniemi, et. al. [2]. In the referred paper was initiated the study of the mathematical properties of alliances.

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\*e-mail:juanalberto.rodriguez@urv.net

†e-mail:josemaria.sigarreta@uc3m.es

In particular, several bounds on the defensive alliance number were given. The particular case of global (strong) defensive alliance was investigated in [3] where several bounds on the global (strong) defensive alliance number were obtained.

In this paper we obtain several tight bounds on different types of alliance numbers of a graph, namely (global) defensive alliance number, global offensive alliance number and global dual alliance number. In particular, we investigate the relationship between the alliance numbers of a graph and its algebraic connectivity, its spectral radius, and its Laplacian spectral radius.

We begin by stating some notation and terminology. In this paper  $\Gamma = (V, E)$  denotes a simple graph of order  $n$  and size  $m$ . For a non-empty subset  $S \subseteq V$ , and any vertex  $v \in V$ , we denote by  $N_S(v)$  the set of neighbors  $v$  has in  $S$ :

$$N_S(v) := \{u \in S : u \sim v\},$$

Similarly, we denote by  $N_{V \setminus S}(v)$  the set of neighbors  $v$  has in  $V \setminus S$ :

$$N_{V \setminus S}(v) := \{u \in V \setminus S : u \sim v\}.$$

In this paper we will use the following obvious but useful claims:

**Claim 1.** *Let  $\Gamma = (V, E)$  be a simple graph of size  $m$ . If  $S \subset V$ , then*

$$2m = \sum_{v \in S} |N_S(v)| + 2 \sum_{v \in S} |N_{V \setminus S}(v)| + \sum_{v \in V \setminus S} |N_{V \setminus S}(v)|.$$

**Claim 2.** *Let  $\Gamma = (V, E)$  be a simple graph. If  $S \subset V$ , then*

$$\sum_{v \in S} |N_{V \setminus S}(v)| = \sum_{v \in V \setminus S} |N_S(v)|.$$

**Claim 3.** *Let  $\Gamma = (V, E)$  be a simple graph. If  $S \subset V$ , then*

$$\sum_{v \in S} |N_S(v)| \leq |S|(|S| - 1).$$

## 2 Defensive alliances

A nonempty set of vertices  $S \subseteq V$  is called a *defensive alliance* if for every  $v \in S$ ,

$$|N_S(v)| + 1 \geq |N_{V \setminus S}(v)|.$$

In this case, by strength of numbers, every vertex in  $S$  is *defended* from possible attack by vertices in  $V \setminus S$ . A defensive alliance  $S$  is called *strong* if for every  $v \in S$ ,

$$|N_S(v)| \geq |N_{V \setminus S}(v)|.$$

In this case every vertex in  $S$  is *strongly defended*.

The *defensive alliance number*  $a(\Gamma)$  (respectively, *strong defensive alliance number*  $\hat{a}(\Gamma)$ ) is the minimum cardinality of any defensive alliance (respectively, strong defensive alliance) in  $\Gamma$ .

A particular case of alliance, called global defensive alliance, was studied in [3]. A defensive alliance  $S$  is called *global* if it affects every vertex in  $V \setminus S$ , that is, every vertex in  $V \setminus S$  is adjacent to at least one member of the alliance  $S$ . Note that, in this case,  $S$  is a dominating set. The *global defensive alliance number*  $\gamma_a(\Gamma)$  (respectively, *global strong defensive alliance number*  $\gamma_{\hat{a}}(\Gamma)$ ) is the minimum cardinality of any global defensive alliance (respectively, global strong defensive alliance) in  $\Gamma$ .

### 2.1 Algebraic connectivity and defensive alliances

It is well-known that the second smallest Laplacian eigenvalue of a graph is probably the most important information contained in the Laplacian spectrum. This eigenvalue, frequently called *algebraic connectivity*, is related to several important graph invariants and imposes reasonably good bounds on the values of several parameters of graphs which are very hard to compute.

The algebraic connectivity of  $\Gamma$ ,  $\mu$ , satisfies the following equality showed by Fiedler [1] on weighted graphs

$$\mu = 2n \min \left\{ \frac{\sum_{v_i \sim v_j} (w_i - w_j)^2}{\sum_{v_i \in V} \sum_{v_j \in V} (w_i - w_j)^2} : w \neq \alpha \mathbf{j} \text{ for } \alpha \in \mathbb{R} \right\}, \quad (1)$$

where  $V = \{v_1, v_2, \dots, v_n\}$ ,  $\mathbf{j} = (1, 1, \dots, 1)$  and  $w \in \mathbb{R}^n$ .

The following theorem shows the relationship between the algebraic connectivity of a graph and its (strong) defensive alliance number.

**Theorem 4.** *Let  $\Gamma$  be a simple graph of order  $n$ . Let  $\mu$  be the algebraic connectivity of  $\Gamma$ . The defensive alliance number of  $\Gamma$  is bounded by*

$$a(\Gamma) \geq \left\lceil \frac{n\mu}{n + \mu} \right\rceil$$

*and the strong defensive alliance number of  $\Gamma$  is bounded by*

$$\hat{a}(\Gamma) \geq \left\lceil \frac{n(\mu + 1)}{n + \mu} \right\rceil.$$

*Proof.* If  $S$  denotes a defensive alliance in  $\Gamma$ , then

$$|N_{V \setminus S}(v)| \leq |S|, \quad \forall v \in S. \quad (2)$$

From (1), taking  $w \in \mathbb{R}^n$  defined as

$$w_i = \begin{cases} 1 & \text{if } v_i \in S; \\ 0 & \text{otherwise,} \end{cases}$$

we obtain

$$\mu \leq \frac{n \sum_{v \in S} |N_{V \setminus S}(v)|}{|S|(n - |S|)}. \quad (3)$$

Thus, (2) and (3) lead to

$$\mu \leq \frac{n|S|}{n - |S|}. \quad (4)$$

Therefore, solving (4) for  $|S|$ , and considering that it is an integer, we obtain the bound on  $a(\Gamma)$ . Moreover, if the defensive alliance  $S$  is strong, then by (3) and Claim 3 we obtain

$$\mu \leq \frac{n \sum_{v \in S} |N_S(v)|}{|S|(n - |S|)} \leq \frac{n(|S| - 1)}{n - |S|}. \quad (5)$$

Hence, the result follows.  $\square$

The above bounds are sharp as we can check in the following examples. It was shown in [2] that, for the complete graph  $\Gamma = K_n$ ,  $a(K_n) = \lceil \frac{n}{2} \rceil$  and  $\hat{a}(K_n) = \lceil \frac{n+1}{2} \rceil$ . As the algebraic connectivity of  $K_n$  is  $\mu = n$ , the above theorem gives the exact value of  $a(K_n)$  and  $\hat{a}(K_n)$ . Moreover, if  $\Gamma$  is the icosahedron, then  $a(\Gamma) = 3$ . Since in this case  $n = 12$  and  $\mu = 5 - \sqrt{5}$ , the above theorem gives  $a(\Gamma) \geq 3$ .

**Theorem 5.** *Let  $\Gamma$  be a simple and connected graph of order  $n$  and maximum degree  $\Delta$ . Let  $\mu$  be the algebraic connectivity of  $\Gamma$ . The strong defensive alliance number of  $\Gamma$  is bounded by*

$$\hat{a}(\Gamma) \geq \left\lceil \frac{n(\mu - \lfloor \frac{\Delta}{2} \rfloor)}{\mu} \right\rceil.$$

*Proof.* If  $S$  denotes a strong defensive alliance in  $\Gamma$ , then

$$|N_{V \setminus S}(v)| \leq \left\lfloor \frac{\deg(v)}{2} \right\rfloor \quad \forall v \in S. \quad (6)$$

Thus, by (3) the result follows.  $\square$

The bound is attained, for instance, in the the following cases: the complete graph  $\Gamma = K_n$ , the Petersen graph, and the 3-cube graph.

## 2.2 Bounds on the global defensive alliance number

The spectral radius of a graph is the largest eigenvalue of its adjacency matrix. It is well-known that the spectral radius of a graph is directly related with several parameters of the graph. The following theorem shows the relationship between the spectral radius of a graph and its global (strong) defensive alliance number.

**Theorem 6.** *Let  $\Gamma$  be a simple graph of order  $n$ . Let  $\lambda$  be the spectral radius of  $\Gamma$ . The global defensive alliance number of  $\Gamma$  is bounded by*

$$\gamma_a(\Gamma) \geq \left\lceil \frac{n}{\lambda + 2} \right\rceil$$

*and the global strong defensive alliance number of  $\Gamma$  is bounded by*

$$\gamma_{\hat{a}}(\Gamma) \geq \left\lceil \frac{n}{\lambda + 1} \right\rceil.$$

*Proof.* If  $S$  denotes a defensive alliance in  $\Gamma$ , then

$$\sum_{v \in S} |N_{V \setminus S}(v)| \leq \sum_{v \in S} |N_S(v)| + |S|. \quad (7)$$

Moreover, if the defensive alliance  $S$  is global, we have

$$n - |S| \leq \sum_{v \in S} |N_{V \setminus S}(v)|. \quad (8)$$

Thus, by (7) and (8) we obtain

$$n - 2|S| \leq \sum_{v \in S} |N_S(v)|. \quad (9)$$

On the other hand, if  $\mathbf{A}$  denotes the adjacency matrix of  $\Gamma$ , we have

$$\frac{\langle \mathbf{A}w, w \rangle}{\langle w, w \rangle} \leq \lambda, \quad \forall w \in \mathbb{R}^n \setminus \{0\}. \quad (10)$$

Thus, taking  $w$  as in the proof of Theorem 4, we obtain

$$\sum_{v \in S} |N_S(v)| \leq \lambda |S|. \quad (11)$$

By (9) and (11), considering that  $|S|$  is an integer, we obtain the bound on  $\gamma_a(\Gamma)$ . Moreover, if the defensive alliance  $S$  is strong, then

$$\sum_{v \in S} |N_{V \setminus S}(v)| \leq \sum_{v \in S} |N_S(v)|. \quad (12)$$

Thus, by (8), (12) and (11), we obtain  $n - |S| \leq \lambda |S|$ . Hence, the result follows.  $\square$

To show the tightness of above bounds we consider, for instance, the graph  $\Gamma = P_2 \times P_3$  and the graph of Figure 1. The spectral radius of  $P_2 \times P_3$  is  $\lambda = 1 + \sqrt{2}$ , then we have  $\gamma_a(\Gamma) \geq 2$ . The spectral radius of the graph of Figure 1 is  $\lambda = 3$ , then the above theorem leads to  $\gamma_{\hat{a}}(\Gamma) \geq 3$ . Hence, the bounds are tight.

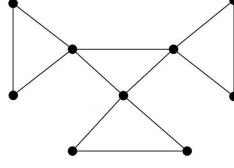
It was shown in [3] that if  $\Gamma$  has maximum degree  $\Delta$ , its global defensive alliance number is bounded by

$$\gamma_a(\Gamma) \geq \frac{n}{\lceil \frac{\Delta}{2} \rceil + 1} \quad (13)$$

and its global strong defensive alliance number is bounded by

$$\gamma_{\hat{a}}(\Gamma) \geq \sqrt{n}. \quad (14)$$

Figure 1:



Moreover, it was shown in [3] that if  $\Gamma$  is bipartite, then its global defensive alliance number is bounded by

$$\gamma_a(\Gamma) \geq \left\lceil \frac{2n}{\Delta + 3} \right\rceil. \quad (15)$$

The following result shows that the bound (15) is not restrictive to the case of bipartite graphs. Moreover, we obtain a bound on  $\gamma_{\hat{a}}$  that improves the bound (14) in the cases of graphs of order  $n$  such that  $n > \left(\lfloor \frac{\Delta}{2} \rfloor + 1\right)^2$ .

**Theorem 7.** *Let  $\Gamma$  be a simple graph of order  $n$  and maximum degree  $\Delta$ . The global defensive alliance number of  $\Gamma$  is bounded by*

$$\gamma_a(\Gamma) \geq \left\lceil \frac{2n}{\Delta + 3} \right\rceil$$

and then global strong defensive alliance number of  $\Gamma$  is bounded by

$$\gamma_{\hat{a}}(\Gamma) \geq \left\lceil \frac{n}{\left\lfloor \frac{\Delta}{2} \right\rfloor + 1} \right\rceil.$$

*Proof.* If  $S$  denotes a global defensive alliance in  $\Gamma$ , then by (8) and (9) we have

$$2n - 3|S| \leq \sum_{v \in S} (|N_{V \setminus S}(v)| + |N_S(v)|) = \sum_{v \in S} \deg(v) \leq |S|\Delta. \quad (16)$$

Thus, the bound on  $\gamma_a(\Gamma)$  follows. Moreover, if the strong defensive alliance  $S$  is global, by (8) and (6) we obtain  $n \leq |S| \left(1 + \left\lfloor \frac{\Delta}{2} \right\rfloor\right)$ . Hence, the bound on  $\gamma_{\hat{a}}(\Gamma)$  follows.  $\square$

The tightness of the above bound of  $\gamma_a(\Gamma)$  was showed in [3] for the case of bipartite graphs. Moreover, the above bound of  $\gamma_{\hat{a}}(\Gamma)$  is attained, for instance, in the case of the Petersen graph.

## 2.3 The girth of regular graphs of small degree

The length of a smallest cycle in a graph  $\Gamma$  is called the *girth* of  $\Gamma$ , and is denoted by  $girth(\Gamma)$ . It was shown in [2] that,

- (i) if  $\Gamma$  is regular of degree  $\delta = 3$  or  $\delta = 4$ , then  $\hat{a}(\Gamma) = girth(\Gamma)$ ,
- (ii) if  $\Gamma$  is 5-regular, then  $a(\Gamma) = girth(\Gamma)$ .

As a consequence of the previous results we obtain interesting relations between the girth and the algebraic connectivity of regular graphs with small degree.

**Theorem 8.** *Let  $\Gamma$  be a simple and connected graph of order  $n$ . Let  $\mu$  be the algebraic connectivity of  $\Gamma$ . Then,*

- if  $\Gamma$  is 3-regular, then  $girth(\Gamma) \geq \left\lceil \frac{n(\mu-1)}{\mu} \right\rceil$ ;
- if  $\Gamma$  is 4-regular, then  $girth(\Gamma) \geq \left\lceil \frac{n(\mu-2)}{\mu} \right\rceil$ ;
- if  $\Gamma$  is 5-regular, then  $girth(\Gamma) \geq \left\lceil \frac{n\mu}{n+\mu} \right\rceil$ .

*Proof.* The results are direct consequence of (i), (ii), Theorem 5 and Theorem 4. □

In order to show the effectiveness of above bounds we consider the following examples in which the bounds lead to the exact values of the girth. If  $\Gamma$  is the Petersen graph,  $\delta = 3$ ,  $n = 10$  and  $\mu = 2$ , then we have  $girth(\Gamma) \geq 5$ . If  $\Gamma = K_6 - F$ , where  $F$  is a 1-factor,  $\delta = 4$ ,  $n = 6$  and  $\mu = 4$ , then we have  $girth(\Gamma) \geq 3$ . If  $\Gamma$  is the icosahedron,  $\delta = 5$ ,  $n = 12$  and  $\mu = 5 - \sqrt{5}$ , then we have  $girth(\Gamma) \geq 3$ .

## 3 Offensive alliances

The boundary of a set  $S \subset V$  is defined as

$$\partial(S) := \bigcup_{v \in S} N_{V \setminus S}(v).$$



A non-empty set of vertices  $S \subseteq V$  is called *offensive alliance* if and only if for every  $v \in \partial(S)$ ,

$$|N_S(v)| \geq |N_{V \setminus S}(v)| + 1.$$

An offensive alliance  $S$  is called *strong* if for every vertex  $v \in \partial(S)$ ,

$$|N_S(v)| \geq |N_{V \setminus S}(v)| + 2.$$

A non-empty set of vertices  $S \subseteq V$  is a *global offensive alliance* if for every vertex  $v \in V \setminus S$ ,

$$|N_S(v)| \geq |N_{V \setminus S}(v)| + 1.$$

Thus, global offensive alliances are also dominating sets, and one can define the *global offensive alliance number*, denoted  $\gamma_{a_o}(\Gamma)$ , to equal the minimum cardinality of a global offensive alliance in  $\Gamma$ . Analogously,  $S \subseteq V$  is a *global strong offensive alliance* if for every vertex  $v \in V \setminus S$ ,

$$|N_S(v)| \geq |N_{V \setminus S}(v)| + 2,$$

and the *global strong offensive alliance number*, denoted  $\gamma_{\hat{a}_o}(\Gamma)$ , is defined as the minimum cardinality of a global strong offensive alliance in  $\Gamma$ .

### 3.1 Bounds on the global offensive alliance number

Similarly to (1), the Laplacian spectral radius of  $\Gamma$  (the largest Laplacian eigenvalue of  $\Gamma$ ),  $\mu_*$ , satisfies

$$\mu_* = 2n \max \left\{ \frac{\sum_{v_i \sim v_j} (w_i - w_j)^2}{\sum_{v_i \in V} \sum_{v_j \in V} (w_i - w_j)^2} : w \neq \alpha \mathbf{j} \text{ for } \alpha \in \mathbb{R} \right\}. \quad (17)$$

The following theorem shows the relationship between the Laplacian spectral radius of a graph and its global (strong) offensive alliance number.

**Theorem 9.** *Let  $\Gamma$  be a simple graph of order  $n$  and minimum degree  $\delta$ . Let  $\mu_*$  be the Laplacian spectral radius of  $\Gamma$ . The global offensive alliance number of  $\Gamma$  is bounded by*

$$\gamma_{a_o}(\Gamma) \geq \left\lceil \frac{n}{\mu_*} \left\lceil \frac{\delta + 1}{2} \right\rceil \right\rceil$$

*and the global strong offensive alliance number of  $\Gamma$  is bounded by*

$$\gamma_{\hat{a}_o}(\Gamma) \geq \left\lceil \frac{n}{\mu_*} \left( \left\lceil \frac{\delta}{2} \right\rceil + 1 \right) \right\rceil.$$

*Proof.* Let  $S \subseteq V$ . By (17), taking  $w \in \mathbb{R}^n$  as in the proof of Theorem 4 we obtain

$$\mu_* \geq \frac{n \sum_{v \in V \setminus S} |N_S(v)|}{|S|(n - |S|)}. \quad (18)$$

Moreover, if  $S$  is a global offensive alliance in  $\Gamma$ ,

$$|N_S(v)| \geq \left\lceil \frac{\deg(v) + 1}{2} \right\rceil \quad \forall v \in V \setminus S. \quad (19)$$

Thus, (18) and (19) lead to

$$\mu_* \geq \frac{n}{|S|} \left\lceil \frac{\delta + 1}{2} \right\rceil. \quad (20)$$

Therefore, solving (20) for  $|S|$ , and considering that it is an integer, we obtain the bound on  $\gamma_{a_o}(\Gamma)$ . If the global offensive alliance  $S$  is strong, then

$$|N_S(v)| \geq \left\lceil \frac{\deg(v)}{2} \right\rceil + 1 \quad \forall v \in V \setminus S. \quad (21)$$

Thus, (18) and (21) lead to the bound on  $\gamma_{\hat{a}_o}(\Gamma)$ .  $\square$

If  $\Gamma$  is the Petersen graph, then  $\mu_* = 5$ . Thus, Theorem 9 leads to  $\gamma_{a_o}(\Gamma) \geq 4$  and  $\gamma_{\hat{a}_o}(\Gamma) \geq 6$ . Therefore, the above bounds are tight.

**Theorem 10.** *Let  $\Gamma$  be a simple graph of order  $n$ , size  $m$  and maximum degree  $\Delta$ . The global offensive alliance number of  $\Gamma$  is bounded by*

$$\gamma_{a_o}(\Gamma) \geq \left\lceil \frac{(2n + \Delta + 1) - \sqrt{(2n + \Delta + 1)^2 - 8(2m + n)}}{4} \right\rceil$$

*and the global strong offensive alliance number of  $\Gamma$  is bounded by*

$$\gamma_{\hat{a}_o}(\Gamma) \geq \left\lceil \frac{(2n + \Delta + 2) - \sqrt{(2n + \Delta + 2)^2 - 16(m + n)}}{4} \right\rceil.$$

*Proof.* If  $S$  is a global offensive alliance in  $\Gamma = (V, E)$ , then

$$\sum_{v \in V \setminus S} |N_S(v)| \geq \sum_{v \in V \setminus S} |N_{V \setminus S}(v)| + (n - |S|). \quad (22)$$

Moreover,

$$|S|(n - |S|) \geq \sum_{v \in V \setminus S} |N_S(v)|. \quad (23)$$

Hence,

$$(|S| - 1)(n - |S|) \geq \sum_{v \in V \setminus S} |N_{V \setminus S}(v)|. \quad (24)$$

Thus,

$$(2|S| - 1)(n - |S|) \geq \sum_{v \in V \setminus S} |N_S(v)| + \sum_{v \in V \setminus S} |N_{V \setminus S}(v)| = \sum_{v \in V \setminus S} \deg(v). \quad (25)$$

Therefore,

$$(2|S| - 1)(n - |S|) + \Delta|S| \geq \sum_{v \in V \setminus S} \deg(v) + \sum_{v \in S} \deg(v) = 2m. \quad (26)$$

Thus, the bound on  $\gamma_{a_0}(\Gamma)$  follows. If the global offensive alliance  $S$  is strong, then we have

$$\sum_{v \in V \setminus S} |N_S(v)| \geq \sum_{v \in V \setminus S} |N_{V \setminus S}(v)| + 2(n - |S|). \quad (27)$$

Basically the bound on  $\gamma_{\hat{a}_0}(\Gamma)$  follows as before: by replacing (22) by (27).  $\square$

The above bounds are tight as we can see, for instance, in the case of the complete graph  $\Gamma = K_n$  and the complete bipartite graph  $\Gamma = K_{3,6}$ , for the bound on  $\gamma_{a_0}(\Gamma)$ , and in the case of the complete bipartite graph  $\Gamma = K_{3,3}$ , for the bound on  $\gamma_{\hat{a}_0}(\Gamma)$ .

## 4 Dual alliances

An alliance is called *dual* if it is both defensive and offensive. The *global dual alliance number* of a graph  $\Gamma$ , denoted by  $\gamma_{a_d}(\Gamma)$ , is defined as the minimum cardinality of any global dual alliance in  $\Gamma$ . In the case of *strong* alliances we denote the global dual alliance number by  $\gamma_{\hat{a}_d}(\Gamma)$ .

## 4.1 Bounds on the global dual alliance number

**Theorem 11.** *Let  $\Gamma$  be a simple graph of order  $n$  and size  $m$ . Let  $\lambda$  be the spectral radius of  $\Gamma$ . The global dual alliance number of  $\Gamma$  is bounded by*

$$\gamma_{a_d}(\Gamma) \geq \left\lceil \frac{2m + n}{4(\lambda + 1)} \right\rceil$$

*and the global strong dual alliance number of  $\Gamma$  is bounded by*

$$\gamma_{\hat{a}_d}(\Gamma) \geq \left\lceil \frac{m + n}{2\lambda + 1} \right\rceil.$$

*Proof.* Let  $S$  be a global dual alliance in  $\Gamma = (V, E)$ . Since  $S$  is a global offensive alliance,  $S$  satisfies (22). Hence, by (22) and Claim 1 we obtain

$$\sum_{v \in V \setminus S} |N_S(v)| \geq \left( 2m - \sum_{v \in S} |N_S(v)| - 2 \sum_{v \in S} |N_{V \setminus S}(v)| \right) + n - |S|$$

Moreover, since the alliance  $S$  is defensive, by (7) and by Claim 2 we have

$$4|S| + 4 \sum_{v \in S} |N_S(v)| \geq 2m + n. \quad (28)$$

Hence, by (11), the bound on  $\gamma_{a_d}(\Gamma)$  follows. On the other hand, if the global offensive alliance  $S$  is strong, then

$$\sum_{v \in V \setminus S} |N_S(v)| \geq \sum_{v \in V \setminus S} |N_{V \setminus S}(v)| + 2(n - |S|).$$

Hence, by Claim 1 we have

$$\sum_{v \in V \setminus S} |N_S(v)| \geq \left( 2m - \sum_{v \in S} |N_S(v)| - 2 \sum_{v \in S} |N_{V \setminus S}(v)| \right) + 2(n - |S|).$$

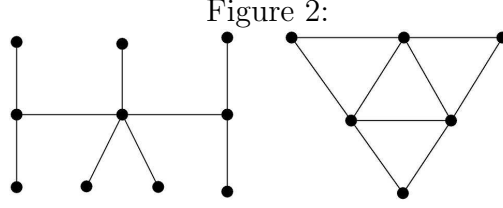
and by Claim 2 we have

$$\sum_{v \in S} |N_S(v)| + 3 \sum_{v \in S} |N_{V \setminus S}(v)| \geq 2m + 2(n - |S|).$$

Moreover, as the strong alliance  $S$  is defensive, by (12) we have

$$2 \sum_{v \in S} |N_S(v)| \geq m + n - |S|. \quad (29)$$

Hence, by (11), the bound on  $\gamma_{\hat{a}_d}(\Gamma)$  follows.  $\square$



For the left hand side graph of Figure 2 we have  $\lambda = \sqrt{6}$ . Thus, Theorem 11 leads to  $\gamma_{ad}(\Gamma) \geq 3$ . Moreover, for the right hand side graph of Figure 2 we have  $\lambda = 1 + \sqrt{5}$ . Thus, Theorem 11 leads to  $\gamma_{\hat{ad}}(\Gamma) \geq 3$ . Hence, the above bounds are attained.

**Theorem 12.** *Let  $\Gamma$  be a simple graph of order  $n$  and size  $m$ . The global dual alliance number of  $\Gamma$  is bounded by*

$$\gamma_{ad}(\Gamma) \geq \left\lceil \frac{\sqrt{2m+n}}{2} \right\rceil$$

*and the global strong dual alliance number of  $\Gamma$  is bounded by*

$$\gamma_{\hat{ad}}(\Gamma) \geq \left\lceil \frac{1 + \sqrt{1 + 8(n+m)}}{4} \right\rceil.$$

*Proof.* Let  $S$  be a global dual alliance in  $\Gamma = (V, E)$ . By (28) and Claim 3 we obtain the bound on  $\gamma_{ad}(\Gamma)$ . On the other hand, if the alliance  $S$  is strong, by (29) and Claim 3 we obtain the bound on  $\gamma_{\hat{ad}}(\Gamma)$ .  $\square$

The above bounds are tight as we can see, for instance, in the case of the complete graph  $\Gamma = K_n$ , for the bound on  $\gamma_{ad}(\Gamma)$ , and  $\Gamma = K_1 * (K_2 \cup K_2)$ , for the bound on  $\gamma_{\hat{ad}}(\Gamma)$ , where  $K_1 * (K_2 \cup K_2)$  denotes the joint of the trivial graph  $K_1$  and the graph  $K_2 \cup K_2$  (obtained from  $K_1$  and  $K_2 \cup K_2$  by joining the vertex of  $K_1$  with every vertex of  $K_2 \cup K_2$ ). Moreover, both bounds are attained in the case of the right hand side graph of Figure 2.

## 5 Additional observations

By definition of global alliance, any global (defensive or offensive) alliance is a dominating set. The *domination number* of a graph  $\Gamma$ , denoted by  $\gamma(\Gamma)$ ,

is the size of its smallest dominating set(s). Therefore,  $\gamma_a(\Gamma) \geq \gamma(\Gamma)$  and  $\gamma_{a_o}(\Gamma) \geq \gamma(\Gamma)$ . It was shown in [4] (for the general case of hypergraphs) that

$$\gamma(\Gamma) \geq \frac{n}{\mu_*},$$

where  $\mu_*$  denotes the Laplacian spectral radius of  $\Gamma$ .

The reader interested in the particular case of global alliances in planar graphs is referred to [5] for a detailed study.

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